

WOCAT - World Overview of Conservation Approaches and Technologies

Questionnaire on Sustainable Land Management (SLM) Approaches

Version: Core (2016)

A tool to help document, assess, and disseminate SLM practices

Contents

Introd	action to the questionnaire	3
1.	General information	5
2.	 1.2 Contact details of resource persons and institutions involved in the documentation of the Approach 1.3 Conditions regarding the use of data documented through WOCAT 1.4 Reference to Questionnaire(s) on SLM Technologies 	5 7 7 8
	 Detailed description of the Approach Photos of the Approach Videos of the Approach Country/ region/ locations where the Approach has been applied Dates of initiation and termination of the Approach Type of Approach Main aims/ objectives of the Approach 1 	8 8 9 9 9 9 0 0
3.		1
4.	 3.2 Involvement of local land users/local communities in the different phases of the Approach 1 3.3 Flow chart (if available) 3.4 Decision-making on the selection of SLM Technology/ Technologies 	1 1 2 3 4
5.	 4.2 Advisory service 4.3 Institution strengthening (organizational development) 4.4 Monitoring and evaluation 4.5 Research 	4 4 5 5 5
6.	5.1 Annual budget for the SLM component of the Approach 5.2 Financial/ material support provided to land users 5.3 Subsidies for specific inputs (including labour) 5.4 Credit 5.5 Other incentives or instruments 1	5 6 6 7
7	6.1 Impacts of the Approach 6.2 Main motivation of land users to implement SLM 6.3 Sustainability of Approach activities 6.4 Strengths/ advantages of the Approach 6.5 Weaknesses/ disadvantages of the Approach and ways of overcoming them 1	7 8 8 9 9
7.	 7.1 Methods/ sources of information 7.2 References to available publications 2 2 3 4 5 6 7 7 8 7 8 9 10 <l< td=""><td>20 20 20 20 20 20 20 20 20 20 20 20 20 2</td></l<>	20 20 20 20 20 20 20 20 20 20 20 20 20 2

Introduction to the questionnaire

Definitions

Sustainable Land Management (SLM) in the context of WOCAT is defined as the use of land resources – including soils, water, vegetation, and animals – to produce goods and provide services to meet changing human needs, while simultaneously ensuring the long-term productive potential of these resources and the maintenance of their environmental functions.

An **SLM Technology** is a physical practice in the field that controls land degradation and/ or enhances productivity. A Technology consists of one or several measures, such as agronomic, vegetative, structural, and management measures.

An **SLM Approach** defines the ways and means used to implement one or several SLM Technologies. It includes technical and material support, involvement and roles of different stakeholders, etc. An Approach can refer to a project/programme or to activities initiated by land users themselves.

A modular framework for the documentation and assessment of SLM practices

The ultimate goal of documenting and assessing land management practices is to share and spread valuable knowledge in land management, support evidence-based decision-making, and scale up identified good/ best practices. To achieve this, it is important to analyse field experiences and gain a better understanding of the reasons behind successful SLM practices, regardless of whether they were introduced by projects or whether they are found in traditional systems.

WOCAT focuses on efforts to prevent and reduce land degradation and restore degraded land through improved land management technologies and approaches to implement these. All practices may be considered, whether they are traditional or indigenous, newly introduced through projects or programmes, adopted and/or adapted by land users, or recent innovations.

The Core Questionnaire on SLM Technologies (QT) helps to describe and understand the land management practice by addressing the following questions: what are the specifications of the Technology, what are the inputs and costs, where is it used (natural and human environment), and what impact does it have?

The Core Questionnaire on SLM Approaches (QA) addresses the questions of how implementation was achieved (including capacity building, decision-making, technical and material support, change of legal framework and policies) and who achieved it (including all stakeholders involved and their roles). In the case of projects, WOCAT asks you to document only those components or activities of the project that are relevant to SLM.

The Core questionnaires on SLM Technologies (QT core) and on SLM Approaches (QA core) contain the key questions on sustainable land management. They are the foundation of the WOCAT knowledge base. They are shorter and less time-consuming to fill in than the formerly used "basic" questionnaires.

The WOCAT framework is flexible and open. It enables users to include specific topics, depending on their interests and needs, to expand the standardized WOCAT Core questionnaires. Development of the following modules has been completed or initiated: Climate change adaptation (QC), Climate Change Mitigation/ Carbon Benefits, Economics of SLM and Biodiversity. The realization of additional modules depends on the initiative of interested partners and the mobilization of resources. WOCAT is open for collaboration, joint projects, and further development of the knowledge base. All modules will be docked onto the core version of QT and QA.

A further tool, the **questionnaire on SLM Mapping (QM)**, has been developed to analyse and depict the spatial distribution of SLM and land degradation processes, causes, and impacts.

The questionnaires mentioned above complement each other. All information documented through WOCAT questionnaires is made available in an open-access **online database** and can be used to disseminate SLM knowledge and improve decision-making for further implementation and spreading of SLM practices.

Please read the following notes before filling in the questionnaire:

- It is recommended that the questionnaire be filled in by a **team of SLM specialists including land users** with different backgrounds and experience, who are familiar with the details of the SLM Approach
- Answer all questions. If hard or precise data are not available, we ask you to provide a best estimate based on your professional judgement. If certain questions are not applicable or not relevant, indicate "n/a". Remember that the quality of the results depends entirely on the quality of your answers.
- Questions with the icon must be answered in consultation with land users. Depending on the Approach, it may be advantageous to answer all questions in consultation with land users.
- Instructions, explanations, definitions, and examples are indicated in italics. Use the definitions given in this document, even if they deviate from your own/national definitions (e.g. land use, slope classes, etc.).
- Square boxes must be ticked! If "Several answers possible" is not indicated, tick only one box!
- Make use of existing documents and seek advice from other SLM specialists and land users as much as possible, in order to improve the quality of the data.

- If you do not have enough space for answers, use the empty pages at the end of the questionnaire. Please always make proper reference to particular questions and page numbers!
- Attach good technical drawings, photographs (including descriptions), references, etc.
- Please fill in a separate questionnaire for each Approach and each Technology (i.e. one questionnaire per Approach; one questionnaire per Technology). An Approach should be linked with one or several Technologies. Together, the two questionnaires (on SLM Technologies and on SLM Approaches) describe a case study within a selected area.
- Fill in the questionnaire carefully and legibly.
- Please enter the information in the WOCAT online database, see <u>qcat.wocat.net</u>.

1. General information

Locally used name:		
Name of the SLM Approach: Do not use the exact same name as useflects the main characteristic of the Approach (name of project/promotion method used, etc.)		
Country:		
1.2 Contact details of resource persons and institutions inv	volved in the assessment and documentation of	the Approac
Compiler	or to an one assessment and accumentation of	uic ripprouc
- The person who conducted the interviews, compiled the information	on, and filled in the questionnaire.	
Last name: First name	ne(s):	\Box female \Box male
Name of institution:		•••••
Address of institution:		••••
Postal Code:	City:	
State or District:	Country:	
Phone no. 1:	Phone no. 2 (mobile)	
E-mail 1:	E-mail 2:	
Optional: Add a photo of the compiler and indicate filenance	ne here:	
Key resource person(s)		
Person(s) who provided most of the information documented in a specialists (e.g. technical advisers, researchers), or any other persons the special section of the information documented in the special section of the speci		
Define type of key resource person: \Box land user ¹ \Box		female
Last name: First name:		\square male
Name of institution:		•••••
Address of institution:		
Postal Code:	City:	
State or District:	Country:	
Phone no. 1:	Phone no. 2 (mobile)	
E-mail 1:	E-mail 2:	
Optional: Provide a photo of the key resource person(s) are	nd indicate filename here:	
Land user: the person/entity who implements/maintains the Technology	chnology/ Technologies associated with this Appro- roups (gender, age, status, interest), cooperatives, i	ach. The term industrial

Note: You may upload the logo(s) of your institution/project to the WOCAT database.

		SLM specialist/ technical adviser	` ′		
		First name(s):		female male	
			Country:		
Phone no. 1:		Phone no. 2 (mobil	e)		
E-mail 1:		E-mail 2:			
Resource person 3:	\Box land user	☐ SLM specialist/ technical adviser	other (specify):		
Last name:		First name(s):		female male	
Name of institution: .					
Address:					
			Country:		
Phone no. 1:		Phone no. 2 (mobil	e)		
E-mail 1:		E-mail 2:			
Resource person 4:	☐ land user	☐ SLM specialist/ technical adviser	other (specify):		
Last name:		First name(s):		female male	
Name of institution: .					
Address:					
			Country:		
Phone no. 1:		Phone no. 2 (mobil	e)		
E-mail 1:		E-mail 2:			

1.3 Conditions regarding the use of data documented through WOCAT

3.

nen were the data compiled (in the field)?:	
e compiler and key resource person(s) accept the conditions regarding the use of data documented through WOCA yes \Box no	T:
te: If you do not accept the conditions regarding the use of data documented through WOCAT you will not be able to enter and a in the WOCAT database.	ed
nditions regarding the use of data documented through WOCAT	
 Data captured through WOCAT questionnaires will be entered, edited, and stored in the WOCAT online database by the compiler or a data entry person assigned by the compiler. Overall responsibility for compilation and data quality lies with the compiler. The compiler, resource persons, and data entry person will be recorded and given credit for the data in the database as well as in any compilation or publication of the documented Approach. Data stored in the WOCAT database are open access. Data are made available for users under the Creative Commons Attribution-NonCommercial-ShareAlike 3.0 Unported License. 	ith
 are free to: Share — copy and redistribute the material in any medium or format Adapt — remix, transform, and build upon the material 	
 e licensor cannot revoke these freedoms as long as you follow the following license terms: Attribution — You must give appropriate credit, provide a link to the license, and indicate if changes were made. Non-commercial — You may not use the material for commercial purposes. ShareAlike — If you remix, transform, or build upon the material, you must distribute your contributions under the same license as the original. No additional restrictions — You may not apply legal terms or technological measures that legally restrict others from doing anything the license permits. 	e
ll license terms: http://creativecommons.org/licenses/by-nc-sa/3.0/legalcode	
Reference to Questionnaire(s) on SLM Technologies	
licate SLM Technologies which were promoted by and implemented through this Approach, and indicate compiler	s.
e major Technologies promoted by and implemented through this Approach must be described in separate SLM Technology estionnaires. WOCAT recommends that you first fill in the Technology questionnaire and that you focus your description of the proach on the Technology/Technologies documented.	
ame of Technology: Compiler:	

2. Specification of the SLM Approach

An SLM Approach (hereafter referred to as Approach) defines the ways and means used to implement one or several SLM Technologies. It includes technical and material support, involvement and roles of different stakeholders, etc. This questionnaire may be used to document any type of Approach: Besides SLM Technologies introduced through projects or programmes, WOCAT includes traditional SLM practices and cases of spontaneous adoption or adaptation of Technologies. If the Approach to be documented involves a project, WOCAT asks you to document only those components or activities of the project that are relevant to SLM.

2.1	Short description of the Approach
	narize the Approach in 1-2 sentences. Make sure this short description is precise and contains relevant key words. It is the lead text of ocumentation and provides an important basis for searching the database.
2.2	Detailed description of the Approach
quest (3) W roles absor	letailed description should provide a concise but comprehensive picture of the Approach to outsiders. It should therefore address key ions such as: (1) What are the main characteristics/distinct features of the Approach? (2) What are the aims/objectives of the Approach? (3) Which were used? (4) Which were the stages of implementation? (5) Which stakeholders were involved, and what were their? (6) What did land users like/dislike about the Approach? The description should ideally be 2,500-3,000 characters in length; the lute maximum is 3,500 characters. Additional, more detailed descriptions may be uploaded to the database as separate documents. In the description at the beginning, but revise it when you have completed the questionnaire.
•••••	
•••••	
•••••	
•••••	
•••••	

2.3 Photos of the Approach

Provide photos showing stakeholders interacting with each other/ implementing measures/ undergoing training, etc. Provide at least two digital files (JPG, PNG, GIF), i.e. files from a digital camera or scans from prints, negative films or slide films. Photos should be of high quality/ high resolution and not manipulated or distorted.

An explanation (description) is required for each photo submitted! Photos should match the description given in 2.2.

Filename of photo	Caption, exp	anation of photo	Date and location	Name of photographer
P11010			10000	pnotographer
eneral remarks	regarding phot	os:		
4 Videos of th	ne Approach			
video files pres	senting activitie	es of the Approach are available,	upload them to a public platfo	orm (e.g. vimeo.com,
outube.com) an	d indicate a lin	k and a short description for each	file in the table below.	-
Link		Comments, short description	Date and	Name of
			location	videographer
I				
.5 Country/1	region/ location	s where the Approach has been	applied	
you have already ven in section Q		onnaires on SLM Technologies that v	vere implemented under this App	roach, refer to the info
ountry:		Region/ State/ Provi	nce:	
urther specifica	tion of location	(e.g. municipality, town, etc.), if	relevant:	
rovide the coord	dinates of the c	entre of the area in which the App	proach has been applied.	
ongitude:	I	_atitude:		
omments:				
		rmination of the Approach		
ndicate year of i				
		licate approximate date when the	Approach was initiated:	
less than 10 y				0 years ago (tradition
·	•	longer applied): (year)	-	- - · ·
omments	• • • • • • • • • • • • • • • • • • • •			•••••

2.7 Type of Approach		
☐ traditional/indigenous		
recent local initiative/ inno	ovative	
\square project/ programme based		
other (specify):		
Specify implementing agencies in	question 3.1.	
2.8 Main aims/ objectives of	of the Approach	
2.9 Conditions enabling or	hindering implen	nentation of the Technology/ ies applied under the Approach
Several answers possible. If there	are both enabling a	and hindering factors for a given condition, tick and specify both.
Condition		Specify:
social/ cultural/ religious	enabling:	
norms and values	hindering:	
	mindering.	
availability/ access to financial resources and	enabling:	
services	hindering:	
institutional setting		
mouve of thing	enabling:	
	hindering:	
collaboration/ coordination	enabling:	
of actors	hindering:	
legal framework (land	- analdina	
tenure, land and water use	enabling:	
rights)	hindering:	
policies	enabling:	
	hindering:	
land governance (decision-		
making, implementation and	enabling:	
enforcement)	hindering:	
knowledge about SLM,	enabling:	
access to technical support	hindering:	
montrata (to munch aco imputa		
markets (to purchase inputs, sell products) and prices	enabling:	
	hindering:	
workload, availability of	enabling:	
manpower	hindering:	
-4h (
other (specify):	enabling:	
	hindering:	
other (specify):	enabling:	
	hindering:	

3. Participation and roles of stakeholders involved

3.1 Stakeholders involved in the Approach and their roles

What stakeholders/implementing bodies were involved in the Approach?	Specify stakeholders If relevant, also specify gender, age, status, ethnicity, etc.	Describe roles of stakeholders							
☐ local land users/ local communities									
☐ community-based organizations									
☐ SLM specialists/ agricultural advisers									
researchers									
teachers/ school children/ students									
□ NGO									
private sector									
☐ local government									
national government (planners, decision-makers)									
☐ international organization									
other (specify):									
If several stakeholders were involved, indicate lead agency:									
Comments:									
Local land users/ local communities: means the Apagencies, government bodies, or non-governmental	ocal land users/ local communities: means the Approach involved local land users or local communities, but no international								

3.2 Involvement of local land users/ local communities in the different phases of the Approach

Specify how local land users/ local communities were involved in the various phases of the Approach as listed below:

Approach phase	In	volv	emen	t of	loce	al lan	d users/ local communities
	active						
	none	passive	payment/ external	support	interactive	self- mobilization	Specify who was involved and describe activities
initiation/ motivation]			
planning							
implementation						Ш	
monitoring/ evaluation							

other (specify; e.g. research):			
research):			

Involvement of local communities

Passive: means that local people participate by being informed what will happen or has already happened. They may also participate by being consulted or by answering questions, but they do not decide.

Active: Payment/ external support: means that local people participate in return for food, cash, or other material support.

Interactive: means that local people and the project team jointly analyse the situation, jointly develop action plans and form institutions, and jointly decide on the use of resources.

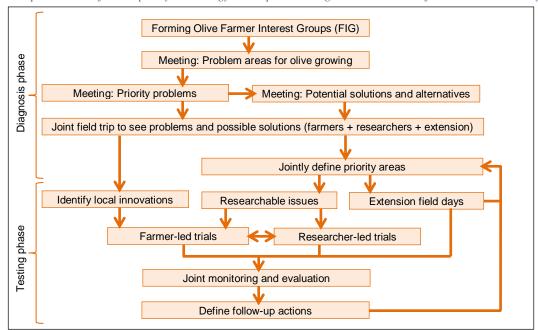
Self-mobilization: means that local people participate by implementing their own initiatives independently of external institutions. They may interact with external institutions to obtain resources and technical advice, but they retain control over how resources are used.

3.3 Flow chart (if available)

Provide a visual summary pointing out important actors, activities, key stages of implementation, etc. Consider using different colours to distinguish the different stakeholders from each other.

Description:	 	
–		

Example: Process of Participatory Technology Development through close researcher-farmer interaction in Syria.



~
~

3.4 Decision-making on the selection of SLM Technology/ Technologies

Specify who decided on the selection of the Technology/ Technologies to be implemented: and users alone (self-initiative) mainly land users, supported by SLM specialists
all relevant actors, as part of a participatory approach
☐ mainly SLM specialists, following consultation with land users
☐ SLM specialists alone
☐ politicians/ leaders
other (specify):
Explain:
Consider the Late that the Constant of the Con
Specify on what basis decisions were made:
Several answers possible.
evaluation of well-documented SLM knowledge (evidence-based decision-making)
research findings
☐ personal experience and opinions (undocumented)
other (specify):

4. Technical support, capacity building, and knowledge management

4.1 Capacity building/ training

Was training provided to land users/ other stakeholders?
no If no, go to question 4.2.
☐ yes If yes, please answer the following sub-questions. Several answers possible.
Specify who was trained: Indusers field staff/ advisers other (specify):
Form of training:
□ on-the-job □ site visits/ farmer-to-farmer □ demonstration areas □ public meetings □ courses □ other (specify):
Subjects covered:
Comments:
4.2 Advisory service
Do land users have access to an advisory service?
no If no, go to question 4.3.
☐ yes If yes, please answer the following sub-questions. Several answers possible.
Specify whether advisory service is provided \Box on land users' fields \Box at permanent centres
☐ other (specify):
Describe/ comments:
Advisory service: technical assistance provided to land users by extension workers/ advisers from government, NGOs, projects, etc.
4.3 Institution strengthening (organizational development)
Have institutions been established or strengthened through the Approach?
\square no \square yes, a little \square yes, moderately \square yes, greatly
If yes, please answer the following sub-questions. Several answers possible.
Specify the level(s) at which institutions have been strengthened or established:
□ local □ regional □ national □ other (specify):
Describe institution, roles and responsibilities, members, etc.:
Specify type of support:
☐ financial ☐ capacity building/ training ☐ equipment ☐ other (specify):
Give further details:

4.4 Monitoring and evaluation
Is monitoring and evaluation part of the Approach?
□ yes □ no
Comments:
If yes, is this documentation intended to be used for monitoring and evaluation?
□ yes □ no
Comments:
4.5 Research
Was research part of the Approach?
no 🗆
yes If yes, please answer the following sub-questions. Several answers possible.
Specify topics: \square sociology \square economics / marketing \square ecology \square technology
other (specify):
Give further details and indicate who did the research:
5. Financing and external material support
External material support: includes in-kind and financial support or incentives provided by government or private organizations.
Questions about financing concern all costs of the Approach, including implementation of the Technology/ Technologies.
5.1 Annual budget for the SLM component of the Approach
Note: Some projects/ programmes include many activities other than SLM. Please calculate/ estimate only the amount that was used for the Approach as described here. Refer to project documents.
Indicate the annual budget for the SLM component of the Approach (including training and extension, research,
implementation of Technology/ Technologies): (in US\$)
If precise annual budget is not known, indicate range:
$ \square < 2,000 \qquad \square \ 2,000-10,000 \qquad \square \ 10,000-100,000 \qquad \square \ 100,000-1,000,000 \qquad \square > 1,000,000 $
Comments (e.g. main sources of funding/ major donors):
5.2 Financial/ material support provided to land users
5.2 Financial material support provided to land users
Did land users receive financial/ material support for implementing the Technology/ Technologies (e.g. tax exemptions, compensation payments, subsidies)?
If no, go to question 5.4.
☐ yes If yes, specify type(s) of support, conditions, and provider(s):

5.3 Subsidies for specific inputs (including labour)

Subsidy: a subsidy is an instrument used by the state or by private actors to reduce the costs of a product or increase the returns from a particular activity (Kerr, 1994). It may be provided in cash or in kind and usually serves a specific purpose.

If no inputs were subsidized, go to question 5.4.

Several answers possible.

To whic	h extent:	Specify subsidies:			
partly financed	fully financed ¹				
□ voluntary □ food-for-work □ paid in cash □ rewarded with other material support Comments: □ 5.4 Credit					
Was credit provided under the Approach for SLM activities? Note: Includes all forms of credits, loans, microfinance mechanisms.					
:					
If yes, specify conditions (interest rate, payback, etc.): Specify credit providers:					
5.5 Other incentives or instruments					
Were other incentives or instruments used to promote implementation of SLM Technologies? <i>E.g. supporting policies, regulations, etc.</i>					
	partly financed partly financed partly financed partly financed	financed financed finance			

6. Impact analysis and concluding statements

Impact analysis is crucial to assessing investments made in SLM, which are often poorly assessed and documented; please provide as much information as possible. Note: Impacts of the Technology/ Technologies on natural resources, production, etc. must be specified in the respective questionnaire(s) on SLM Technologies.



6.1 Impacts of the Approach

The term Approach refers to the implementation of one or several Technologies. It may have been introduced through a project/programme, or be part of an indigenous system, or refer to a spontaneous adoption/adaptation based on individual initiative.

First, tick relevant impacts (tick boxes on the left). Then, for each selected impact, tick the extent and specify / indicate reasons.		Yes, a little	Yes, moderately	, greatly	If yes, specify.
Did the Approach:	Š	Yes	Yes	Yes,	If no, give reasons.
empower local land users, improve stakeholder participation?					
☐ enable evidence-based decision-making?					
help land users to implement and maintain SLM Technologies?					
improve coordination and cost- effective implementation of SLM?					
☐ mobilize/ improve access to financial resources for SLM implementation?					
improve knowledge and capacities of land users to implement SLM?					
improve knowledge and capacities of other stakeholders?					
build/ strengthen institutions, collaboration between stakeholders?					
☐ mitigate conflicts?					
empower socially and					
economically disadvantaged groups?					
improve gender equality and empower women and girls?					
encourage young people/ the next generation of land users to engage					
in SLM? improve issues of land tenure/ user rights that hindered implemen-					
tation of SLM Technologies?					
improved nutrition? ☐ improve access to markets?					
☐ lead to improved access to water and sanitation?					

lead to more sustainable use/ sources of energy?		
improve land users' resilience to climatic changes/ extremes and disasters?		
☐ lead to employment, income opportunities?		
other (specify):		
		ccribed in this document. Concluding statements concerning the e separate Questionnaire on SLM Technologies.
6.2 Main motivation of land users to	implement SLM	
Several answers possible.		
Specify main motivating factors		Comments
increased production	act han afit matic	
☐ increased profit(ability), improved co ☐ reduced land degradation	ost-benefit ratio	
reduced risk of disasters		
reduced workload		
payments/ subsidies	mont	
 □ rules and regulations (fines)/ enforcement □ prestige, social pressure/ social cohesion □ affiliation to movement/ project/ group/ networks 		
environmental consciousness	up/ networks	
ustoms and beliefs, morals		
enhanced SLM knowledge and skills		
aesthetic improvement		
☐ conflict mitigation		
other (specify):		
U other (specify):	•••••	
6.3 Sustainability of Approach activi	ities	
Can the land users sustain what has been i	implemented throug	gh the Approach (without external support)?
□ no □ yes □ uncertain	n	
If no or uncertain specify and comment		
if no of uncertain, specify and comment	•••••	
	•••••	
If yes, describe how:		
•		

6.4 Strengths/ advantages of the Approach

In land users' view ¹	
3)	
4)	
In the compiler's or other key resource persons'	view
,	
3)	
4)	
.5 Weaknesses/ disadvantages of the Approaches Weaknesses/ disadvantages	the chand ways of overcoming them How can they be overcome?
Weaknesses/ disadvantages	
Weaknesses/ disadvantages In land users' view	How can they be overcome?
Weaknesses/ disadvantages In land users' view	How can they be overcome?
Weaknesses/ disadvantages In land users' view 1)	How can they be overcome?
Weaknesses/ disadvantages In land users' view	How can they be overcome?
Weaknesses/ disadvantages In land users' view 1)	How can they be overcome?
Weaknesses/ disadvantages In land users' view 1)	How can they be overcome?
Weaknesses/ disadvantages In land users' view 1)	How can they be overcome?
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Weaknesses/ disadvantages In land users' view 1)	How can they be overcome?
Weaknesses/ disadvantages In land users' view 1)	How can they be overcome?
Weaknesses/ disadvantages In land users' view 1)	How can they be overcome?
Weaknesses/ disadvantages In land users' view 1)	How can they be overcome?

2)	
3)	
4)	
7. References and links	
74 35 A 3 / A 4 A 4 A 4 A 4 A 4 A 4 A 4 A 4 A 4 A	
7.1 Methods/ sources of information	
Which of the following methods/ sources of information were	
	Specify (number of informants, documents, etc.)
field visits, field surveys	
interviews with land users	
interviews with SLM specialists/ experts	
compilation from reports and other existing documentation	
other (specify):	
7.2 References to available publications	
-	
List relevant publications relating to the Approach (reports, ma	anuals, training materials, case studies, etc.). Upload an
available soft copies of the publications listed to the database.	
Title, author, year, ISBN	Available from where? Costs?
7.3 Links to relevant information which is available online	
Title/ description	URL
